

Set Comprehension in Church's Type Theory

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Simple Types

Simple Types \mathcal{T} :

o	(truth values)
ι	(individuals)
$(\alpha\beta)$	(functions from β to α)

$(\alpha\beta\gamma)$ abbreviates $((\alpha\beta)\gamma)$

A Standard Frame:

\mathcal{D}_o	$=$	$\{\mathbf{T}, \mathbf{F}\}$.
\mathcal{D}_ι	$=$	\mathbf{N} (natural numbers).
$\mathcal{D}_{\alpha\beta}$	$=$	$\mathcal{D}_\alpha^{\mathcal{D}_\beta}$, all functions from \mathcal{D}_β to \mathcal{D}_α .

$\mathcal{D}_{o\iota} \cong \mathcal{P}(\mathbf{N})$: $X \subseteq \mathbf{N} \leftrightarrow \chi_X : \mathbf{N} \rightarrow \{\mathbf{T}, \mathbf{F}\}$ (characteristic functions)

$\mathcal{D}_{o\iota\iota} \cong \mathcal{P}(\mathbf{N} \times \mathbf{N})$: Binary relations on \mathbf{N}

$\mathcal{D}_{o(o\iota)} \cong \mathcal{P}(\mathcal{P}(\mathbf{N}))$

Simply Typed λ -Calculus

	x_α	Variables (\mathcal{V})
	A_α	Parameters (\mathcal{P})
Terms:	c_α	Logical Constants (\mathcal{S})
	$[\mathbf{F}_{\alpha\beta} \mathbf{B}_\beta]_\alpha$	Application
	$[\lambda y_\beta \mathbf{A}_\alpha]_{\alpha\beta}$	λ -abstraction

Examples:

$[\lambda y_\beta A_\alpha]_{\alpha\beta}$	Constant A function
$[\lambda x_\alpha x]_{\alpha\alpha}$	Identity function
$[\lambda u_{o\alpha} [u A_\alpha]]_{o(o\alpha)}$	Set of sets containing A : $\{u_{o\alpha} \mid A \in u\}$

Dot Convention: A dot stands for a left bracket whose mate is as far to the right as possible without changing the existing bracketing. $[\lambda u_{o\alpha} \cdot u A_\alpha]$ means $[\lambda u_{o\alpha} [u A_\alpha]]$

Conversion and Reduction

Equality of terms: $\alpha\beta\eta$

α -conversion Changing Bound Variables

β -reduction $[[\lambda y_\beta \mathbf{A}_\alpha] \mathbf{B}] \xrightarrow{\beta} [\mathbf{B}/y]\mathbf{A}$

η -reduction $[\lambda y_\beta [\mathbf{F}_{\alpha\beta} y]] \xrightarrow{\eta} \mathbf{F} \quad (y_\beta \notin \mathbf{Free}(\mathbf{F}))$

Every term has a unique $\beta\eta$ -normal form,
up to α -conversion.

Logical Constants

Some logical constants which may be in \mathcal{S} :

\top_o true

\perp_o false

\neg_{oo} negation

\vee_{ooo} disjunction

\wedge_{ooo} conjunction

\supset_{ooo} implication

\equiv_{ooo} equivalence

$=_{o\alpha\alpha}^\alpha$ equality at type α

$\prod_{o(o\alpha)}^\alpha$ universal quantification over type α

$\sum_{o(o\alpha)}^\alpha$ existential quantification over type α

Intuition: $[\sum^\alpha . \lambda x_\alpha \mathbf{C}_o]$ maps to true iff $\{x_\alpha \mid \mathbf{C}\}$ is nonempty.

Abbreviations for Logical Operators

$[A_o \vee B_o]$ means $[\vee_{ooo} A_o B_o]$

$[A_o \wedge B_o]$ means $[\wedge_{ooo} A_o B_o]$

$[A_o \supset B_o]$ means $[\supset_{ooo} A_o B_o]$

$[A_o \equiv B_o]$ means $[\equiv_{ooo} A_o B_o]$

$[A_\alpha =^\alpha B_\alpha]$ means $[=_{o\alpha\alpha}^\alpha A_\alpha B_\alpha]$

$[\forall x_\alpha A_o]$ means $[\prod_{o(o\alpha)}^\alpha \cdot \lambda x_\alpha A_o]$.

$[\exists x_\alpha A_o]$ means $[\sum_{o(o\alpha)}^\alpha \cdot \lambda x_\alpha A_o]$.

Church's Type Theory

Church's Type Theory:

- Simply typed λ -calculus with the signature $\{\neg, \forall\} \cup \{\Pi^\alpha \mid \alpha \in \mathcal{T}\}$ (and perhaps a description or choice operator).
- Axioms of Extensionality
- Axiom of Description or Choice
- Axiom of Infinity

Extensional Type Theory

\mathcal{S} Fragment of Extensional Type Theory:

- Simply typed λ -calculus with the signature \mathcal{S}
- Extensionality
- No Axiom of Description or Choice
- No Axiom of Infinity

Comprehension Principles

The type $(o\alpha)$ is the type of sets over α .

Suppose \mathbf{D}_o and $y_{o\alpha} \notin \mathbf{Free}(\mathbf{D})$.

Comprehension Principle for \mathbf{D} :

$$\exists y_{o\alpha} \forall x_\alpha. [y x] \equiv \mathbf{D}_o$$

The term $[\lambda x_\alpha \mathbf{D}]$ witnesses the Comprehension Principle.

This works for any arity. $(o\alpha^n \dots \alpha^1)$ is a type of relations.

For any \mathbf{D}_o and $y_{o\alpha^n \dots \alpha^1} \notin \mathbf{Free}(\mathbf{D})$, $[\lambda x^1 \dots \lambda x^n \mathbf{D}]$ witnesses:

$$\exists y_{o\alpha^n \dots \alpha^1} \forall x_{\alpha^1}^1 \dots \forall x_{\alpha^n}^n. [y x^1 \dots x^n] \equiv \mathbf{D}_o$$

Conclusion: λ -terms \Rightarrow Comprehension.

Surjective Cantor Theorem

There is no surjection from \mathcal{D}_l onto \mathcal{D}_{ol} .

$$\neg \exists g_{ol} \forall f_{ol} \exists x_l . g x =^{ol} f$$

Suppose G_{ol} is a surjection.

- Let D_{ol} be $[\lambda x_l \neg . G x x]$ (diagonal set).

$$\{x \mid x \notin [G x]\}$$

- There is some X_l such that $G X =^{ol} D$.

$$G X X \equiv D X \equiv \neg . G X X$$

Contradiction

Instantiating Set Variables

When a program (Tps) searches for a proof of a theorem, we may need to instantiate for a set variable using logical constants. The diagonal set $[\lambda x_l \neg.G x x]$ (using \neg) is a simple example.

Questions:

- Do we need to consider *every* logical constant?

What about Π^o or Π^{oo} ?

Do we ever need an instantiation like $[\lambda x_\alpha \forall y_o \forall z_{oo} \mathbf{A}_o]$?

- Can we assume instantiations are in a normal form?

Do we ever need an instantiation like $[\lambda x_\alpha \neg\neg \mathbf{A}_o]$?

- Can we avoid instantiating some set variables altogether?

Leibniz equality: $\forall q_{o\alpha}. [q \mathbf{A}_\alpha] \supset [q \mathbf{B}_\alpha]$.

Instantiating Set Variables

Question:

- Do we need to consider *every* logical constant?
What logical constants are necessary for completeness?

We concentrate now on this question.

Surjective Cantor Theorem

Defining diagonal set $[\lambda x_\iota \neg . G x x]$ required \neg .

We used the logical constants \neg , $\Sigma^{o\iota}$, $\Pi^{o\iota}$, Σ^ι and $=^{o\iota}$ to write

$$\neg \exists g_{o\iota} \forall f_{o\iota} \exists x_\iota . g x =^{o\iota} f$$

as a term. ($[\exists x_\iota \dots]$ means $[\Sigma^\iota \lambda x_\iota \dots]$).

What if \neg is not included as a logical constant?

Can we express the theorem without negation?

Yes, as a **proposition** over **terms**:

$$\neg \exists g_{o\iota} \forall f_{o\iota} \exists x_\iota [[g x] =^{o\iota} f]$$

Is this provable without negation? No.

Comprehension Principles for Propositions

Suppose M is a proposition and $y_{o\alpha^n \dots \alpha^1} \notin \mathbf{Free}(M)$.

Is there a witness of the Comprehension Principle for M ?

$$\exists y_{o\alpha^n \dots \alpha^1} \forall x_{\alpha^1}^1 \dots \forall x_{\alpha^n}^n. [y x^1 \dots x^n] \equiv M$$

Example:

$$\exists y_{oi} \forall x_i. [y x] \equiv \neg [G_{oi} x x]$$

The diagonal set $[\lambda x_i \neg [G x x]]$ witnesses this *assuming* $\neg \in \mathcal{S}$.

Logical constants in \mathcal{S} correspond to
Comprehension Principles for Propositions.

A Worthless Construction

Claim: There is a “model” where Surjective Cantor fails.

Define $\mathcal{D}_o := \{\mathbf{T}, \mathbf{F}\}$ and $\mathcal{D}_l := (-1, 1)$ (Real Interval).

Define $\mathcal{D}_{ol} := \{\chi_{(a,1)} : (-1, 1) \rightarrow \{\mathbf{T}, \mathbf{F}\} \mid -1 \leq a \leq 1\} \subseteq (\mathcal{D}_o)^{\mathcal{D}_l}$.

Define $\mathcal{D}_{\alpha\beta} \subseteq (\mathcal{D}_\alpha)^{\mathcal{D}_\beta}$ arbitrarily for other types $\alpha\beta$.

There is a surjection from \mathcal{D}_l to \mathcal{D}_{ol} (same cardinality).

This Proves Nothing! Two Reasons:

- There’s no interpretation for arbitrary λ -terms.
- This is an argument ala **Skolem’s “Paradox”**.

We need a surjection $g : \mathcal{D}_l \rightarrow \mathcal{D}_{ol}$ with $\boxed{g \in \mathcal{D}_{oll}}$.

Frames in General

$\mathcal{D}_o \subseteq \{\mathbf{T}, \mathbf{F}\}$ (nonempty)

$\mathcal{D}_i =$ any nonempty set

$\mathcal{D}_{\alpha\beta} \subseteq (\mathcal{D}_\alpha)^{\mathcal{D}_\beta}$ (maybe not all functions)

To interpret λ -abstractions, $\mathcal{D}_{\alpha\beta}$ must contain “enough” functions from \mathcal{D}_β to \mathcal{D}_α . Such frames are **combinatory**.

Examples:

- $[\lambda y_\beta A_\alpha]_{\alpha\beta}$ for any parameter A_α :
All constant functions must be in $\mathcal{D}_{\alpha\beta}$.
- $[\lambda x_\alpha x]_{\alpha\alpha}$: Identity function must be in $\mathcal{D}_{\alpha\alpha}$.

Frames Possessing Logical Constants

$\mathcal{D}_o \subseteq \{\mathbf{T}, \mathbf{F}\}$ (nonempty)

$\mathcal{D}_i =$ any nonempty set

$\mathcal{D}_{\alpha\beta} \subseteq (\mathcal{D}_\alpha)^{\mathcal{D}_\beta}$ (maybe not all functions)

We may want \mathcal{D} to include interpretations for logical constants.

- We say \mathcal{D} **possesses** a logical constant c_α if there is an *appropriate* interpretation for c in \mathcal{D}_α .

Examples:

- \mathcal{D} **possesses** \top if $\mathbf{T} \in \mathcal{D}_o$.
- \mathcal{D} **possesses** \perp if $\mathbf{F} \in \mathcal{D}_o$.
- \mathcal{D} **possesses** \neg if the negation function $\neg : \mathcal{D}_o \rightarrow \mathcal{D}_o$ is in \mathcal{D}_{oo} .
- \mathcal{D} **possesses** Π^α if there is some function $\Pi^\alpha \in \mathcal{D}_{o(o\alpha)}$ such that $\Pi^\alpha(f) = \mathbf{T}$ iff $f(a) = \mathbf{T}$ for every $a \in \mathcal{D}_\alpha$.

Interpretations of Logical Constants in Frames

...	...

$\Pi^o, \Sigma^o \in? \mathcal{D}_{o(o\ o)}$	$\Pi^l, \Sigma^l \in? \mathcal{D}_{o(o\ l)}$ $\mathcal{D}_{o(u)}$ $\mathcal{D}_{l(u)}$
$\wedge, \vee, \equiv \in? \mathcal{D}_{ooo}$	$\mathcal{D}_{l(o\ l)}$ $\equiv^l \in? \mathcal{D}_{ou}$ \dots \mathcal{D}_{uu}

$\neg \in? \mathcal{D}_{oo}$	\mathcal{D}_{ol} \mathcal{D}_{lo} \mathcal{D}_{uu}

$\mathbf{T}, \mathbf{F} \in? \mathcal{D}_o$	\mathcal{D}_l

\mathcal{S} -Models

$\mathcal{D}_o \subseteq \{\mathbf{T}, \mathbf{F}\}$ (nonempty)

$\mathcal{D}_t =$ any nonempty set

$\mathcal{D}_{\alpha\beta} \subseteq (\mathcal{D}_\alpha)^{\mathcal{D}_\beta}$ (maybe not all functions)

Let \mathcal{S} be a signature of logical constants.

- We say \mathcal{D} **possesses** \mathcal{S} if \mathcal{D} possesses every $c \in \mathcal{S}$.
- An **\mathcal{S} -model** (of the \mathcal{S} fragment of extensional type theory) is a combinatory frame \mathcal{D} possessing \mathcal{S} .

There is a proof system $\vdash_{\mathcal{S}} \mathbf{M}$ for closed propositions \mathbf{M} .

\mathcal{S} -models are sound and complete with respect to $\vdash_{\mathcal{S}}$.

Conservation

Suppose $\mathcal{S}_1 \subseteq \mathcal{S}_2$.

Defn: A signature \mathcal{S}_2 is **conservative** over \mathcal{S}_1 if $\vdash_{\mathcal{S}_1} \mathbf{M}$ whenever $\vdash_{\mathcal{S}_2} \mathbf{M}$ and \mathbf{M} is expressible using \mathcal{S}_1 .

- If \mathcal{S}_2 is *not* conservative over \mathcal{S}_1 , then there is some proposition \mathbf{M} with $\vdash_{\mathcal{S}_2} \mathbf{M}$ but $\not\vdash_{\mathcal{S}_1} \mathbf{M}$.
- By completeness, there is some \mathcal{S}_1 -model \mathcal{D} where \mathbf{M} is false.
- By soundness, \mathcal{D} cannot be an \mathcal{S}_2 -model.
- There is some logical constant $c \in \mathcal{S}_2 \setminus \mathcal{S}_1$ not possessed by \mathcal{D} .

Conservation and Independence

Suppose $\mathcal{S}_1 \subseteq \mathcal{S}_2$.

- We can show \mathcal{S}_2 is conservative over \mathcal{S}_1 by showing every \mathcal{S}_1 -model possess \mathcal{S}_2 .
- We can show \mathcal{S}_2 is *not* conservative over \mathcal{S}_1 by constructing an \mathcal{S}_1 -model which does not possess some $c \in \mathcal{S}_2 \setminus \mathcal{S}_1$.

Conservation (Propositional Constants)

Let \mathcal{D} be an \mathcal{S} -model where $\neg, \vee \in \mathcal{S}$. Then:

- \mathcal{D} must possess $\{\top, \perp, \wedge, \supset, \equiv\}$ (definable from \neg and \vee).
- \mathcal{D} must possess $\{\Pi^\beta, \Sigma^\beta, =^\beta \mid \beta \in \mathcal{T}_o\}$ where $\mathcal{T}_o = \{o, (oo), (ooo), (o(oo)), \dots\}$ (propositional types).
- $\mathcal{S} \cup \{\top, \perp, \wedge, \equiv\} \cup \{\Pi^\beta, \Sigma^\beta, =^\beta \mid \beta \in \mathcal{T}_o\}$ is conservative over \mathcal{S} .

Conclusion:

- If we consider set instantiations using \neg and \vee , we need not consider set instantiations using constants from the set

$$\{\top, \perp, \wedge, \supset, \equiv\} \cup \{\Pi^\beta, \Sigma^\beta, =^\beta \mid \beta \in \mathcal{T}_o\}$$

Conservation (Quantifiers and Equality)

- If a model \mathcal{D} possesses Π^β and $=^\alpha$, then \mathcal{D} must possess $=^{\alpha\beta}$.
Extensional Definition:

$$[\lambda f_{\alpha\beta} \lambda g_{\alpha\beta} \forall y_\beta. f y =^\alpha g y]$$

- If a model \mathcal{D} possesses $=^{o\alpha}$ and \top , then \mathcal{D} must possess Π^α .
Definition:

$$[\lambda p_{o\alpha}. p =^{o\alpha} \lambda x_\alpha \top]$$

Combinatory Frames (Independence)

Suppose \mathcal{D} is a combinatory frame and $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$.

There are four functions from \mathcal{D}_o to \mathcal{D}_o : two constant functions, identity, negation.

$[\lambda y_o A_o]_{oo}$ for any parameter A_o :

The constant functions $K_{\mathbf{F}}$ and $K_{\mathbf{T}}$ must be in \mathcal{D}_{oo} .

$[\lambda x_o x]_{oo}$: Identity function must be in \mathcal{D}_{oo} .

What about negation?

Combinatory Frame Without Negation

(Ignore type ι)

Define $\mathcal{D}_o := \{\mathbf{F}, \mathbf{T}\}$.

Order \mathcal{D}_o by $\mathbf{F} <^o \mathbf{T}$.

Extend to function types:

Assume \mathcal{D}_α is defined and ordered by \leq^α .

Assume \mathcal{D}_β is defined and ordered by \leq^β .

Define $\mathcal{D}_{\alpha\beta}$ to be all monotone functions $f : \mathcal{D}_\beta \rightarrow \mathcal{D}_\alpha$.

$$\forall x, y \in \mathcal{D}_\beta. x \leq^\beta y \Rightarrow f(x) \leq^\alpha f(y)$$

Combinatory Frame Without Negation

Order $\mathcal{D}_{\alpha\beta}$ by $\leq^{\alpha\beta}$: $f \leq^{\alpha\beta} g$ iff

$$\forall x, y \in \mathcal{D}_\beta. x \leq^\beta y \Rightarrow f(x) \leq^\alpha g(y).$$

The frame \mathcal{D} is combinatory.

$\neg : \mathcal{D}_o \rightarrow \mathcal{D}_o$ is not monotone since $\mathbf{F} \leq^o \mathbf{T}$, but $\neg(\mathbf{F}) \not\leq^o \neg(\mathbf{T})$

Hence $\mathcal{D}_{oo} = \{K_{\mathbf{F}}, K_{\mathbf{T}}, id\}$.

(Binary) Logical Relation Frames

Start with $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$, \mathcal{D}_l nonempty,

$\mathcal{R}_o \subseteq (\mathcal{D}_o)^2$ (e.g., \leq^o) and $\mathcal{R}_l \subseteq (\mathcal{D}_l)^2$.

Assume \mathcal{R}_o and \mathcal{R}_l are reflexive binary relations.

Extend to function types:

Assume \mathcal{D}_α , $\mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^2$, \mathcal{D}_β and $\mathcal{R}_\beta \subseteq (\mathcal{D}_\beta)^2$ are defined.

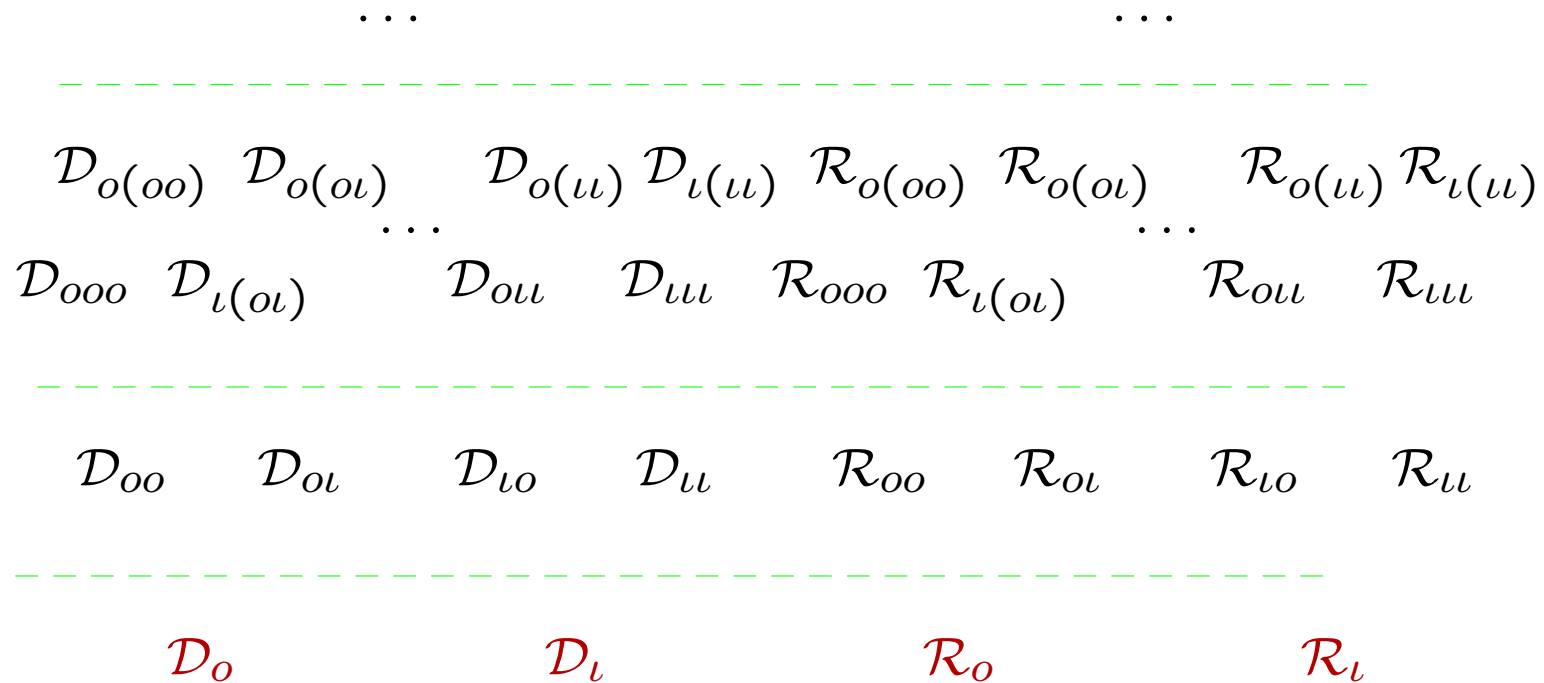
Define $\mathcal{D}_{\alpha\beta}$:

$$\{f : \mathcal{D}_\beta \rightarrow \mathcal{D}_\alpha \mid \forall \langle y^0, y^1 \rangle \in \mathcal{R}_\beta \Rightarrow \langle f(y^0), f(y^1) \rangle \in \mathcal{R}_\alpha\}$$

Define $\mathcal{R}_{\alpha\beta} \subseteq (\mathcal{D}_{\alpha\beta})^2$:

$$\{\langle f^0, f^1 \rangle \mid \forall \langle y^0, y^1 \rangle \in \mathcal{R}_\beta \Rightarrow \langle f^0(y^0), f^1(y^1) \rangle \in \mathcal{R}_\alpha\}$$

Logical Relation Frames



Given: $\mathcal{D}_o, \mathcal{D}_l, \mathcal{R}_o, \mathcal{R}_l$

Logical Relation Frames

Fact 1: Each $\mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ is reflexive.

Fact 2: \mathcal{D} is a combinatory frame.

Construction works with relations of any arity.

Let A be a nonempty set.

function $p : A \rightarrow \mathcal{D}_\alpha$ \sim A -tuple $\langle p(i) \rangle_{i \in A}$
of elements of \mathcal{D}_α

set of functions $\mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^A$ \sim A -ary relation on \mathcal{D}_α

Logical Relation Frames

Let $x \in \mathcal{D}_\alpha$ and $K_x : A \rightarrow \mathcal{D}_\alpha$ be the constant function $K_x(i) = x$ for all $i \in A$.

constant function $K_x \sim A\text{-tuple } \langle x \rangle_{i \in A}$.

Instead of *reflexivity* of \mathcal{R}_α ,

we will need all constant functions K_x to be in \mathcal{R}_α .

Generalizing Logical Relation Frames

Start with $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$, \mathcal{D}_l nonempty, $\mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{2}}$ and $\mathcal{R}_l \subseteq \mathcal{D}_l^{\boxed{2}}$.
 Assume \mathcal{R}_o and \mathcal{R}_l include constant functions.

Extend to function types:

Assume $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^{\boxed{2}}$, \mathcal{D}_β and $\mathcal{R}_\beta \subseteq (\mathcal{D}_\beta)^{\boxed{2}}$ are defined.

Define $\mathcal{D}_{\alpha\beta} := \{f : \mathcal{D}_\beta \rightarrow \mathcal{D}_\alpha \mid \forall p \in \mathcal{R}_\beta \langle f(p^0), f(p^1) \rangle \in \mathcal{R}_\alpha\}$

Define $\mathcal{R}_{\alpha\beta} := \{q \in (\mathcal{D}_{\alpha\beta})^{\boxed{2}} \mid \forall p \in \mathcal{R}_\beta \langle q^0(p^0), q^1(p^1) \rangle \in \mathcal{R}_\alpha\}$

Generalizing Logical Relation Frames

Start with $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$, \mathcal{D}_l nonempty, $\mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{2}}$ and $\mathcal{R}_l \subseteq \mathcal{D}_l^{\boxed{2}}$.
Assume \mathcal{R}_o and \mathcal{R}_l include constant functions.

Extend to function types:

Assume $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^{\boxed{2}}$, \mathcal{D}_β and $\mathcal{R}_\beta \subseteq (\mathcal{D}_\beta)^{\boxed{2}}$ are defined.

Define $\mathcal{D}_{\alpha\beta} := \{f : \mathcal{D}_\beta \rightarrow \mathcal{D}_\alpha \mid \forall p \in \mathcal{R}_\beta \ \langle f(p^i) \rangle_{i \in \{0,1\}} \in \mathcal{R}_\alpha\}$

Define $\mathcal{R}_{\alpha\beta} := \{q : \{0, 1\} \rightarrow \mathcal{D}_{\alpha\beta} \mid \forall p \in \mathcal{R}_\beta \ \langle q^i(p^i) \rangle_{i \in \{0,1\}} \in \mathcal{R}_\alpha\}$

Generalizing Logical Relation Frames

Start with $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$, \mathcal{D}_l nonempty, $\mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{A}}$ and $\mathcal{R}_l \subseteq \mathcal{D}_l^{\boxed{A}}$.
Assume \mathcal{R}_o and \mathcal{R}_l include constant functions.

Extend to function types:

Assume $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^{\boxed{A}}$, \mathcal{D}_β and $\mathcal{R}_\beta \subseteq (\mathcal{D}_\beta)^{\boxed{A}}$ are defined.

Define $\mathcal{D}_{\alpha\beta} := \{f : \mathcal{D}_\beta \rightarrow \mathcal{D}_\alpha \mid \forall p \in \mathcal{R}_\beta \ \langle f(p^i) \rangle_{i \in A} \in \mathcal{R}_\alpha\}$

Define $\mathcal{R}_{\alpha\beta} := \{q : A \rightarrow \mathcal{D}_{\alpha\beta} \mid \forall p \in \mathcal{R}_\beta \ \langle q^i(p^i) \rangle_{i \in A} \in \mathcal{R}_\alpha\}$

Generalizing Logical Relation Frames

Start with $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$, \mathcal{D}_l nonempty, $\mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{A}}$ and $\mathcal{R}_l \subseteq \mathcal{D}_l^{\boxed{A}}$.
Assume \mathcal{R}_o and \mathcal{R}_l include constant functions.

Extend to function types:

Assume $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^{\boxed{A}}$, \mathcal{D}_β and $\mathcal{R}_\beta \subseteq (\mathcal{D}_\beta)^{\boxed{A}}$ are defined.

Define $\mathcal{D}_{\alpha\beta} := \{f : \mathcal{D}_\beta \rightarrow \mathcal{D}_\alpha \mid \forall p \in \mathcal{R}_\beta \ \boxed{f \circ p} \in \mathcal{R}_\alpha\}$

Define $\mathcal{R}_{\alpha\beta} := \{\boxed{q : A \rightarrow \mathcal{D}_{\alpha\beta}} \mid \forall p \in \mathcal{R}_\beta \ \boxed{\langle q^i(p^i) \rangle_{i \in A}} \in \mathcal{R}_\alpha\}$

Generalizing Logical Relation Frames

Start with $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$, \mathcal{D}_l nonempty, $\mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{A}}$ and $\mathcal{R}_l \subseteq \mathcal{D}_l^{\boxed{A}}$.
Assume \mathcal{R}_o and \mathcal{R}_l include constant functions.

Extend to function types:

Assume $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^{\boxed{A}}$, \mathcal{D}_β and $\mathcal{R}_\beta \subseteq (\mathcal{D}_\beta)^{\boxed{A}}$ are defined.

Define $\mathcal{D}_{\alpha\beta} := \{f : \mathcal{D}_\beta \rightarrow \mathcal{D}_\alpha \mid \forall p \in \mathcal{R}_\beta \ \boxed{f \circ p} \in \mathcal{R}_\alpha\}$

Define $\mathcal{R}_{\alpha\beta} := \{\boxed{q : A \rightarrow \mathcal{D}_{\alpha\beta}} \mid \forall p \in \mathcal{R}_\beta \ \boxed{S(q, p)} \in \mathcal{R}_\alpha\}$

where $S(q, p)(i) := q(i)(p(i))$.

Logical Relation Frames

Facts:

- Each $\mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^A$ contains all constant functions.
- \mathcal{D} is a combinatory frame.

Frames with Specified Sets

Let A be nonempty and $\mathcal{B} \subseteq \mathcal{P}A$. Assume $\emptyset \in \mathcal{B}$ and $A \in \mathcal{B}$.

Define $\mathcal{D}_o := \{\mathbf{T}, \mathbf{F}\}$ and $\mathcal{D}_l := A$.

Define $\mathcal{R}_o \subseteq (\mathcal{D}_o)^A$: $\{p : A \rightarrow \mathcal{D}_o \mid p^{-1}(\mathbf{T}) \in \mathcal{B}\} = \{\chi_X \mid X \in \mathcal{B}\}$

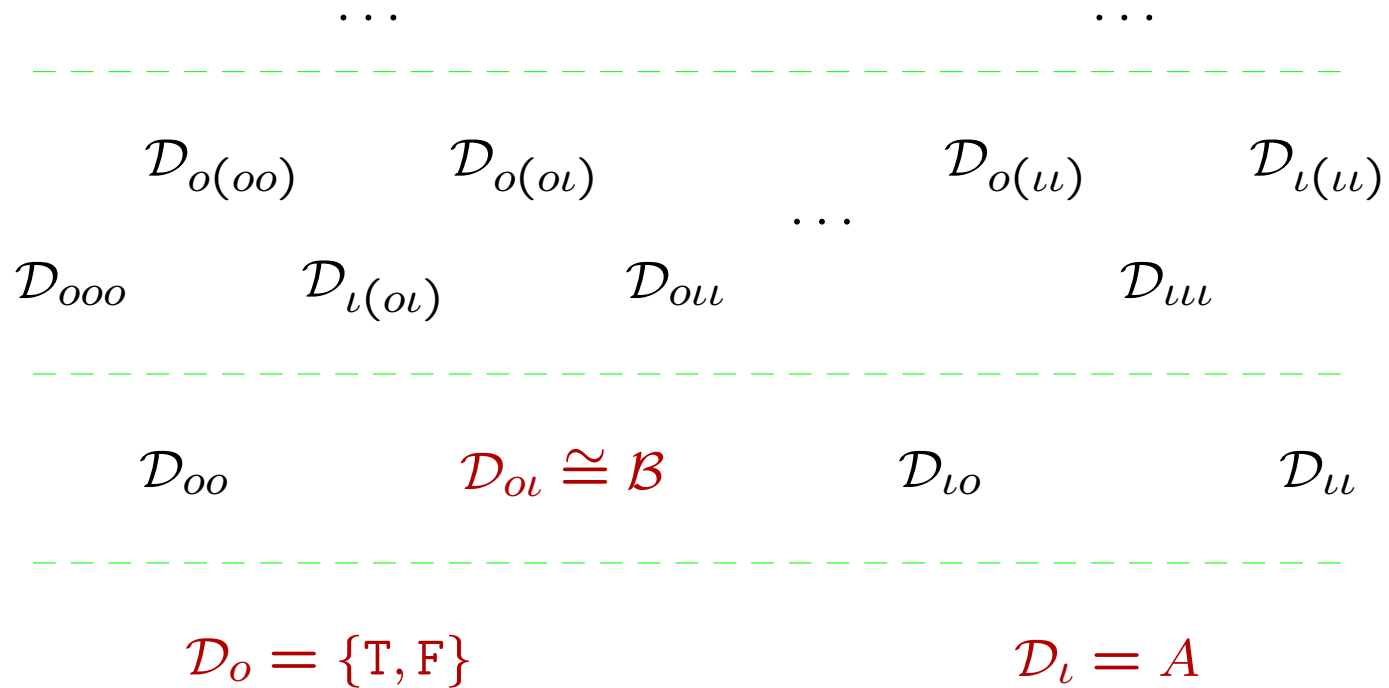
Define $\mathcal{R}_l \subseteq (\mathcal{D}_l)^A$: $\{p : A \rightarrow \mathcal{D}_l \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$

Extend \mathcal{D} and \mathcal{R} to all types giving combinatory frame \mathcal{D} .

Fact: $\mathcal{D}_{ol} = \{\chi_X \mid X \in \mathcal{B}\}$. ($\mathcal{D}_{ol} \cong \mathcal{B}$)

Idea: We specified \mathcal{D}_l and \mathcal{D}_{ol} by giving A and \mathcal{B} , then extended definition to all types using relations.

Frames with Specified Sets



Specified Sets: $\mathcal{D}_{\alpha\iota} \subseteq \mathcal{R}_\alpha$

$$\mathcal{D}_{\alpha\iota} \subseteq (\mathcal{D}_\alpha)^{\mathcal{D}_\iota} = (\mathcal{D}_\alpha)^A \supseteq \mathcal{R}_\alpha.$$

$$\mathcal{R}_\iota = \{p : A \rightarrow \mathcal{D}_\iota \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$$

$$\mathcal{D}_{\alpha\iota} = \{f : A \rightarrow \mathcal{D}_\alpha \mid \forall p \in \mathcal{R}_\iota \ (f \circ p) \in \mathcal{R}_\alpha\}$$

Note: $id \in \mathcal{R}_\iota$.

For any $f \in \mathcal{D}_{\alpha\iota}$, $f = (f \circ id) \in \mathcal{R}_\alpha$.

$$\mathcal{D}_{\alpha\iota} \subseteq \mathcal{R}_\alpha$$

In particular,

$$\mathcal{D}_{0\iota} \subseteq \mathcal{R}_0$$

Every $f \in \mathcal{D}_{0\iota}$ is χ_X for some $X \in \mathcal{B}$.

Specified Sets: $\mathcal{R}_o \subseteq \mathcal{D}_{ol}$, $\mathcal{D}_{ol} \cong \mathcal{B}$

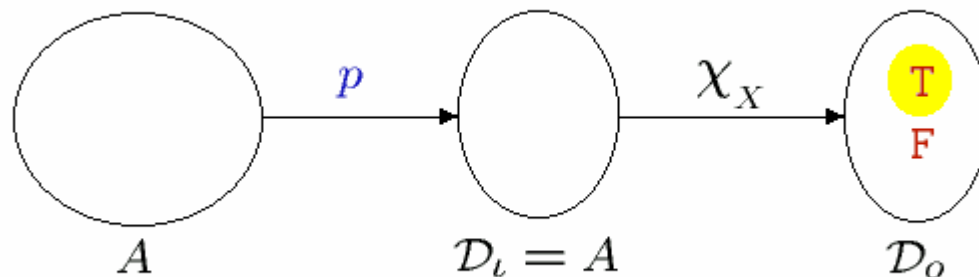
$$\mathcal{R}_o = \{p : A \rightarrow \mathcal{D}_o \mid p^{-1}(\mathbf{T}) \in \mathcal{B}\}$$

$$\mathcal{R}_l = \{p : A \rightarrow \mathcal{D}_l \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$$

$$\mathcal{D}_{ol} = \{f : A \rightarrow \mathcal{D}_o \mid \forall p \in \mathcal{R}_l \ (f \circ p) \in \mathcal{R}_o\}$$

Suppose $X \in \mathcal{B}$ and $p \in \mathcal{R}_l$. $(\chi_X \circ p) \in \mathcal{R}_o$?

Yes: $(\chi_X \circ p)^{-1}(\mathbf{T}) = p^{-1}(X) \in \mathcal{B}$ since $p \in \mathcal{R}_l$.



Specified Sets: $\mathcal{R}_o \subseteq \mathcal{D}_{ol}$, $\mathcal{D}_{ol} \cong \mathcal{B}$

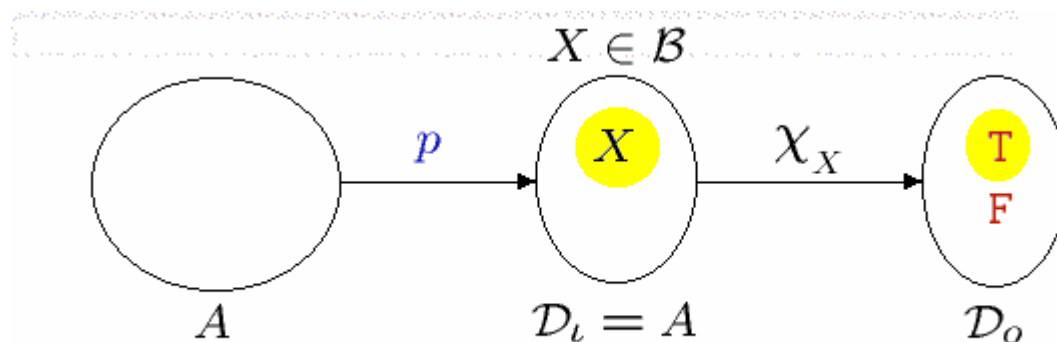
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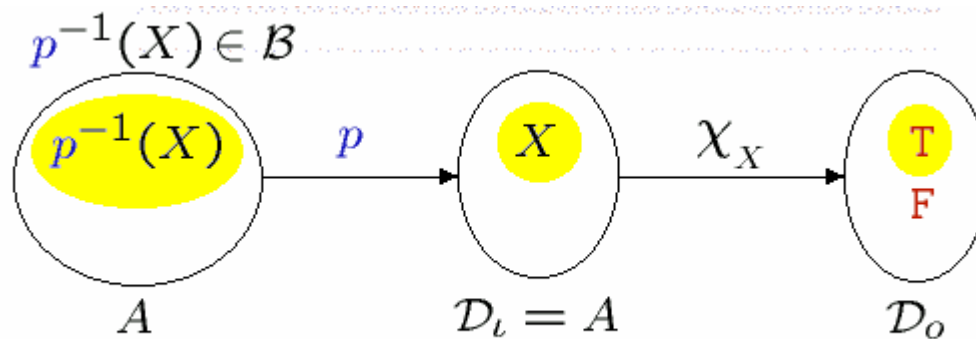
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Hence $\mathcal{R}_o \subseteq \mathcal{D}_{ol}$ and $\mathcal{D}_{ol} = \mathcal{R}_o \cong \mathcal{B}$.

Specified Sets and Logical Constants

A nonempty, $\mathcal{B} \subseteq \mathcal{P}A$, $\emptyset \in \mathcal{B}$, $A \in \mathcal{B}$, \mathcal{D} specified sets frame.

Let $\neg : \mathcal{D}_o \rightarrow \mathcal{D}_o$ be the negation function. $\neg \in \mathcal{D}_{oo}$?

$$\mathcal{R}_o = \{\chi_X \mid X \in \mathcal{B}\} \quad \mathcal{D}_{oo} = \{f : \mathcal{D}_o \rightarrow \mathcal{D}_o \mid \forall p \in \mathcal{R}_o (f \circ p) \in \mathcal{R}_o\}$$

$$\neg \in \mathcal{D}_{oo} \quad \text{iff} \quad (\neg \circ \chi_X) \in \mathcal{R}_o \text{ for all } X \in \mathcal{B}$$

$$\text{iff} \quad \chi_{(A \setminus X)} \in \mathcal{R}_o \text{ for all } X \in \mathcal{B}$$

$$\text{iff} \quad (A \setminus X) \in \mathcal{B} \text{ for all } X \in \mathcal{B}$$

- \mathcal{D} possesses \neg iff \mathcal{B} is closed under complements.

Specified Sets and Logical Constants

- \mathcal{D} possesses \wedge iff \mathcal{B} is closed under binary intersections.
- \mathcal{D} possesses \vee iff \mathcal{B} is closed under binary unions.
- We must directly check when \mathcal{D} possesses $=^\alpha$.
- We must check when \mathcal{D} possesses Π^α and Σ^α .

Frame in which Surjective Cantor Fails

Let A be the real interval $(-1, 1)$ and

$$\mathcal{B} := \{(a, 1) \mid -1 \leq a \leq 1\} \subseteq \mathcal{P}(A).$$

Note: \emptyset is $(1, 1) \in \mathcal{B}$ and A is $(-1, 1) \in \mathcal{B}$.

Define $\mathcal{D}_o := \{\mathbf{T}, \mathbf{F}\}$ and $\mathcal{D}_l := A$.

Use Relations to define combinatory frame \mathcal{D} with $\mathcal{D}_{ol} \cong \mathcal{B}$.

Facts:

- $\mathcal{D}_{ol} = \{\chi_{(a,1)} \mid -1 \leq a \leq 1\}$
- The negation function $\neg : \mathcal{D}_o \rightarrow \mathcal{D}_o$ is not in \mathcal{D}_{oo} .
- \mathcal{D} possesses \wedge and \vee .
- The Surjective Cantor Theorem fails.

Proposed Surjection in \mathcal{D}_{ou}

$$\mathcal{D}_l = (-1, 1)$$

$$\mathcal{D}_{ou} = \{\chi_{(a,1)} \mid -1 \leq a \leq 1\}$$

Define $G : \mathcal{D}_l \rightarrow \mathcal{D}_{ou}$ as follows:

$$G(x) := \begin{cases} \chi_{\emptyset} & \text{if } -1 < x \leq -\frac{1}{2} \\ \chi_{(-2x,1)} & \text{if } -\frac{1}{2} < x < \frac{1}{2} \\ \chi_{(-1,1)} & \text{if } \frac{1}{2} \leq x < 1 \end{cases}$$

That is, $G(x)(y) = \mathbb{T}$ iff $-2x < y$ for each $x, y \in (-1, 1)$.

Claim 1: G is surjective.

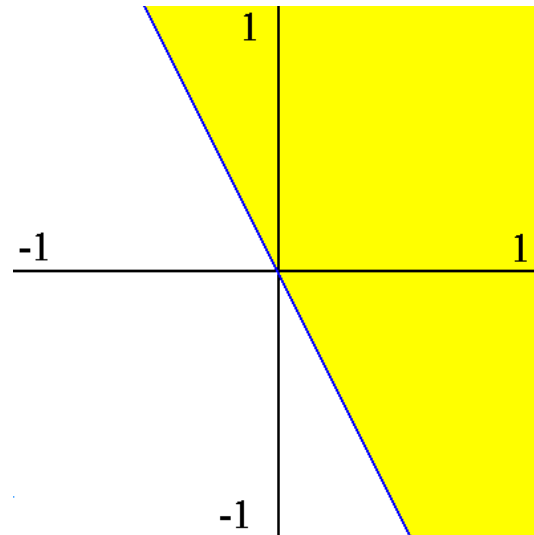
Claim 2: $G \in \mathcal{D}_{ou}$.

Proposed Surjection in \mathcal{D}_{out}

$G(x)(y) = \text{T}$ iff $-2x < y$ for each $x, y \in (-1, 1)$.

G is surjective since $G(-\frac{z}{2})$ is $\chi_{(z,1)}$ for any $(z, 1) \in \mathcal{B}$.

The graph of the relation $G(x)(y) = \text{T}$:

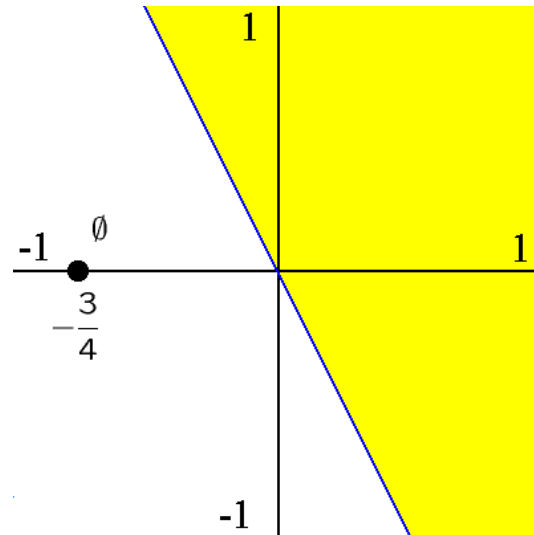


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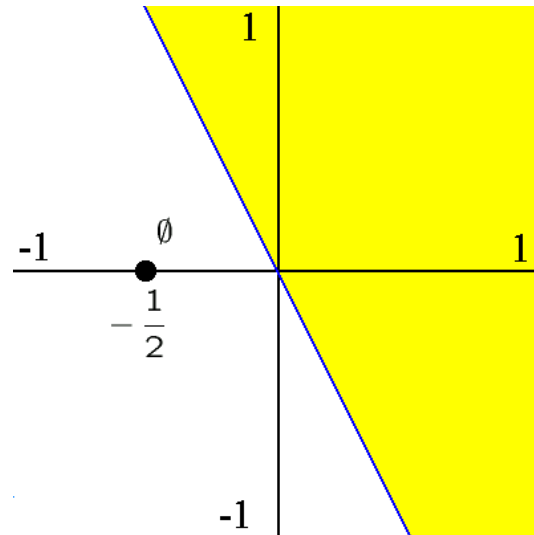


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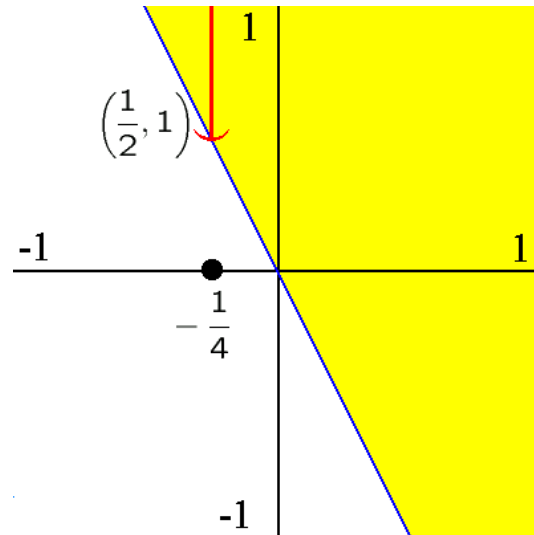


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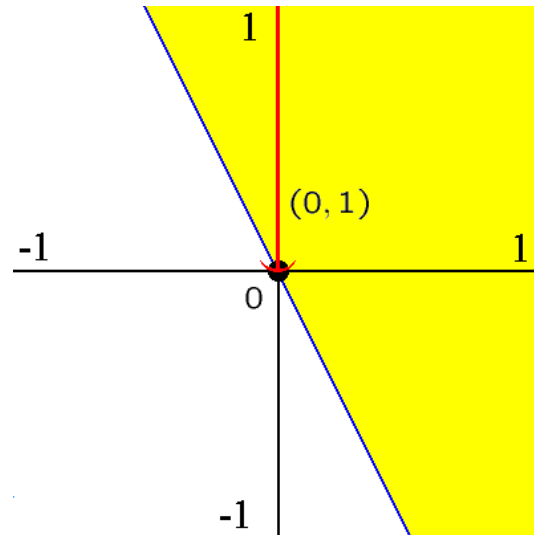


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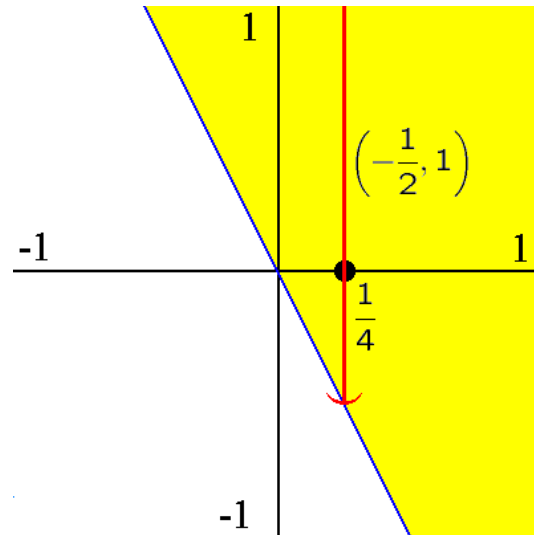


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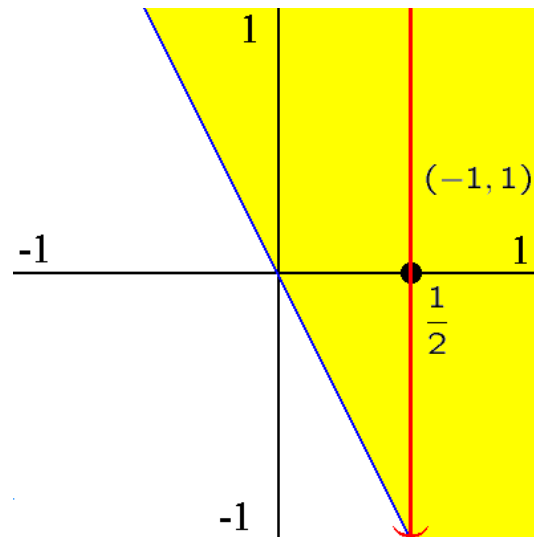


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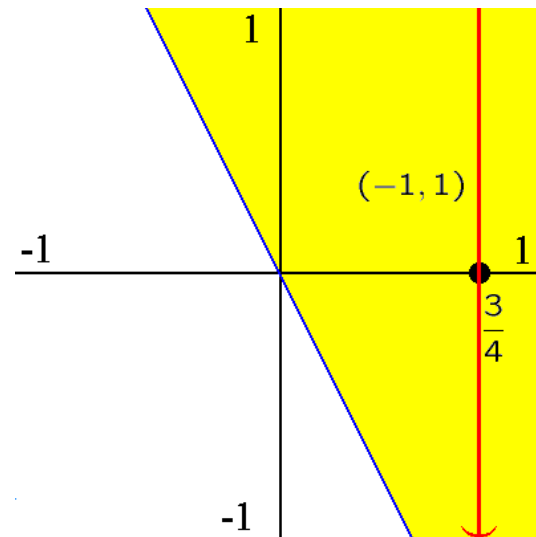


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The graph of the relation $G(x)(y) = \text{T}$:



Proposed Surjection in \mathcal{D}_{ou}

$G(x)(y) = \text{T}$ iff $-2x < y$ for each $x, y \in (-1, 1)$.

Is $G \in \mathcal{D}_{ou}$?

Need to check $(G \circ p_1) \in \mathcal{R}_{ol}$ for every $p_1 \in \mathcal{R}_l$.

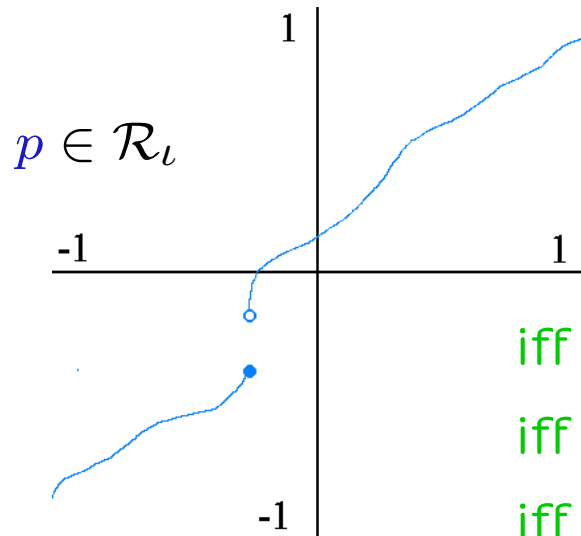
To check $(G \circ p_1) \in \mathcal{R}_{ol}$, we need to check

$S((G \circ p_1), p_2) \in \mathcal{R}_o$ for every $p_2 \in \mathcal{R}_l$.

First: Characterize \mathcal{R}_l .

Frame in which Surjective Cantor Fails: \mathcal{R}_ι

$$\mathcal{R}_\iota = \{p : A \rightarrow \mathcal{D}_\iota \mid \forall X \in \mathcal{B} \ p^{-1}(X) \in \mathcal{B}\}$$



Suppose $p : A \rightarrow A$.

$$p \in \mathcal{R}_\iota$$

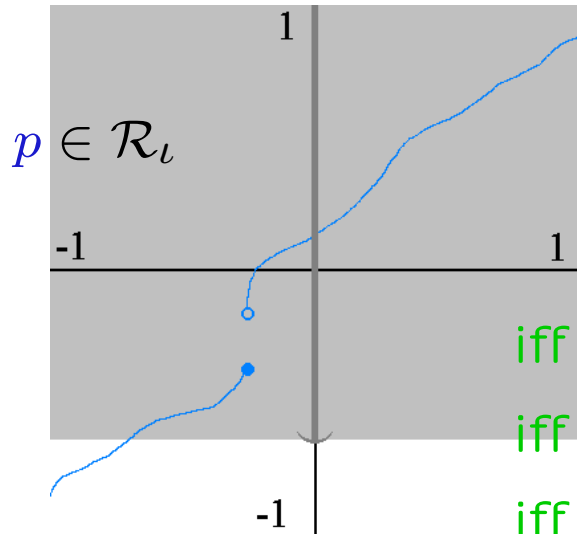
iff $p^{-1}(X) \in \mathcal{B}$ for every $X \in \mathcal{B}$

iff $p^{-1}((a, 1)) \in \mathcal{B}$ for every $a \in [-1, 1]$

iff p is nondecreasing and left-continuous.

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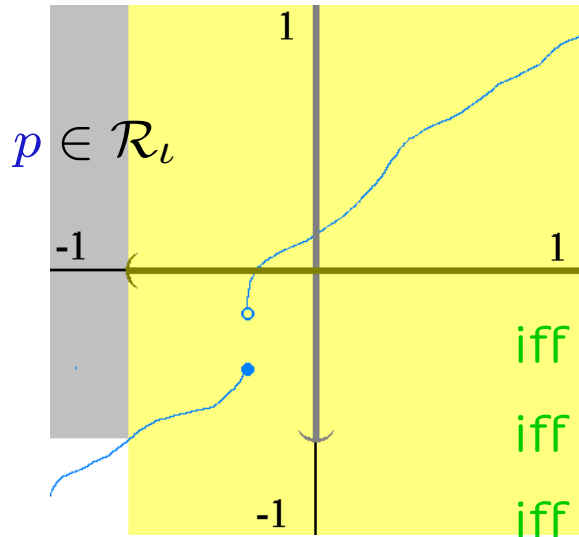
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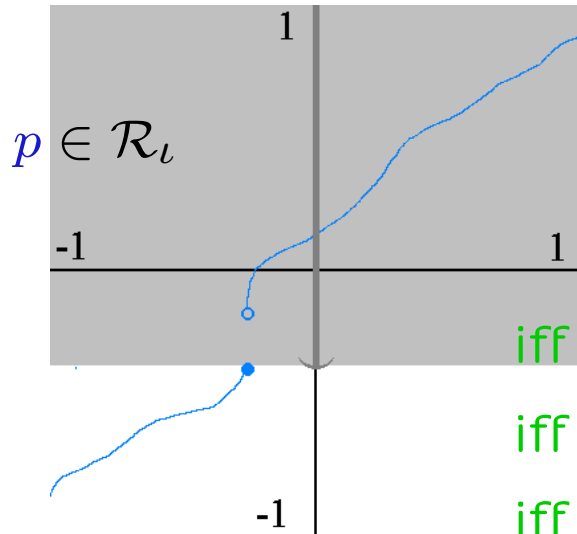
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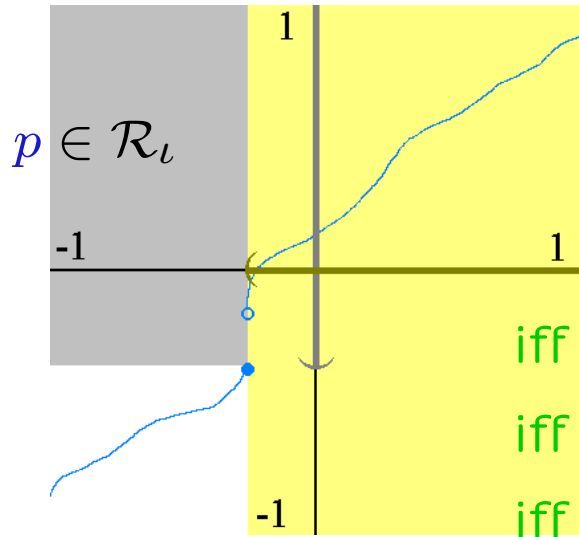
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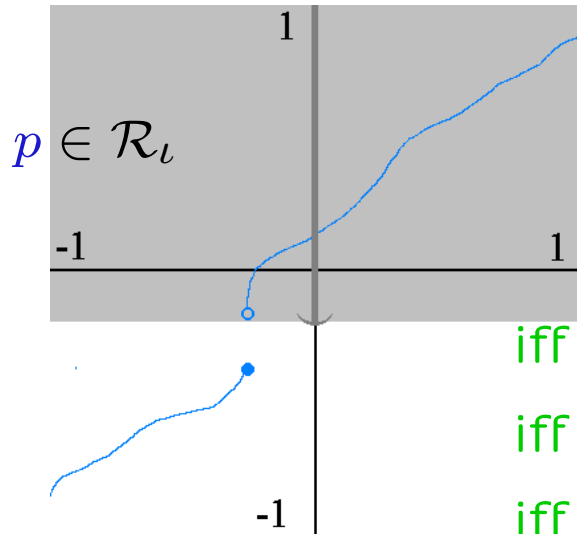
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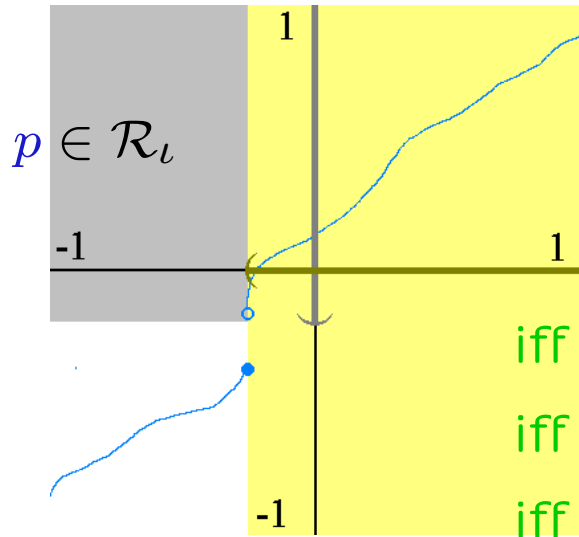
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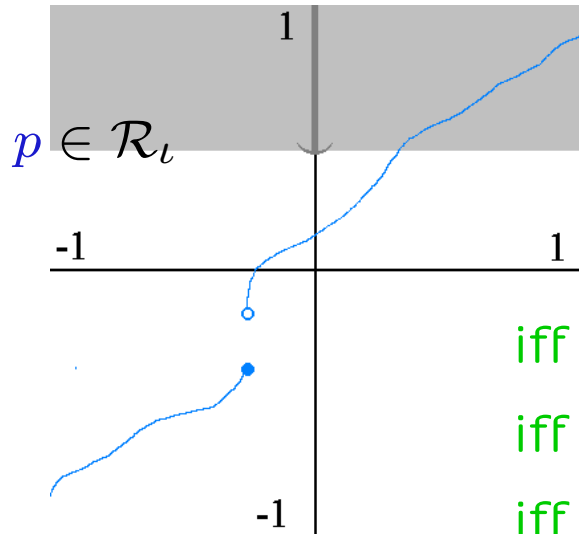
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Frame in which Surjective Cantor Fails: \mathcal{R}_l

$$\mathcal{R}_l = \{p : A \rightarrow \mathcal{D}_l \mid \forall X \in \mathcal{B} \ p^{-1}(X) \in \mathcal{B}\}$$



Suppose $p : A \rightarrow A$.

$$p \in \mathcal{R}_l$$

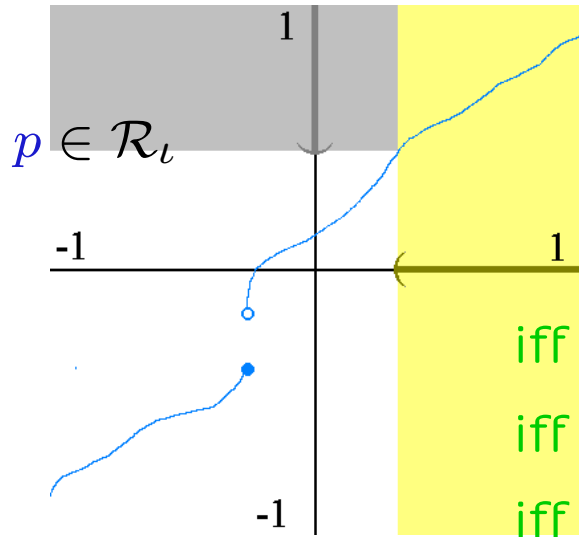
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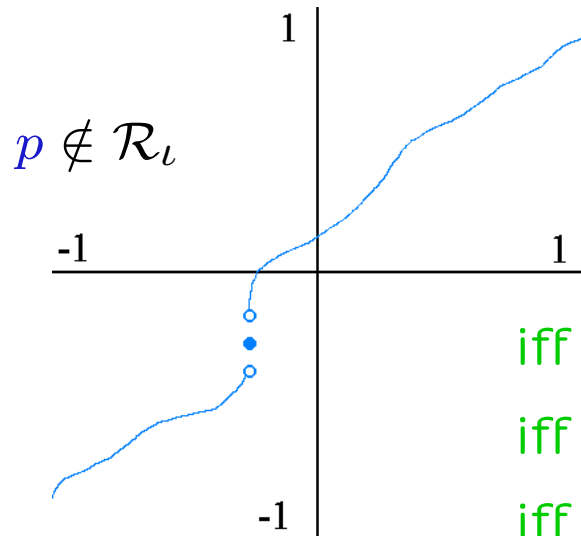
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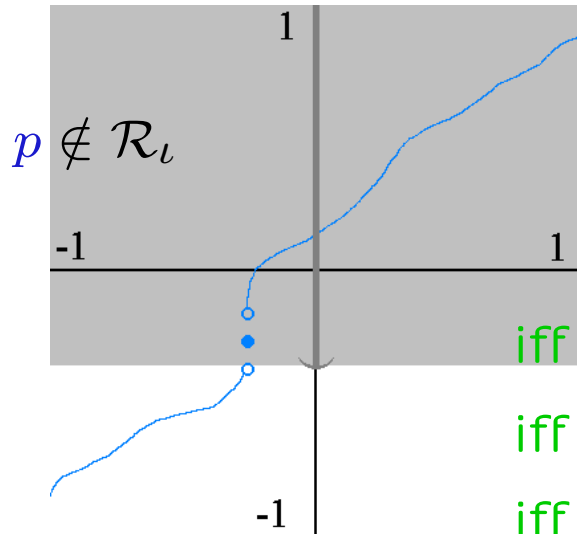
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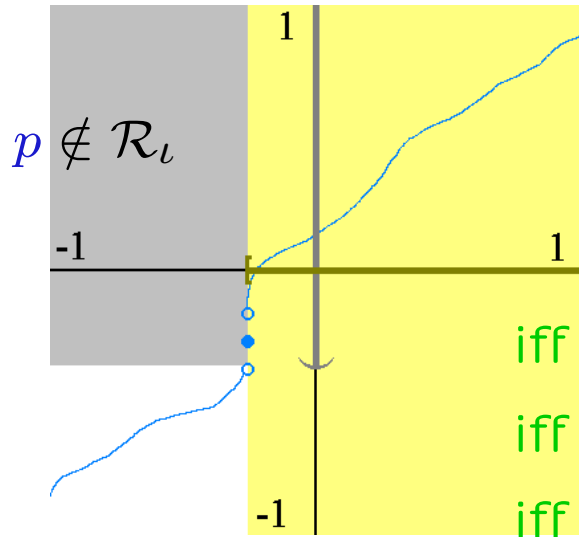
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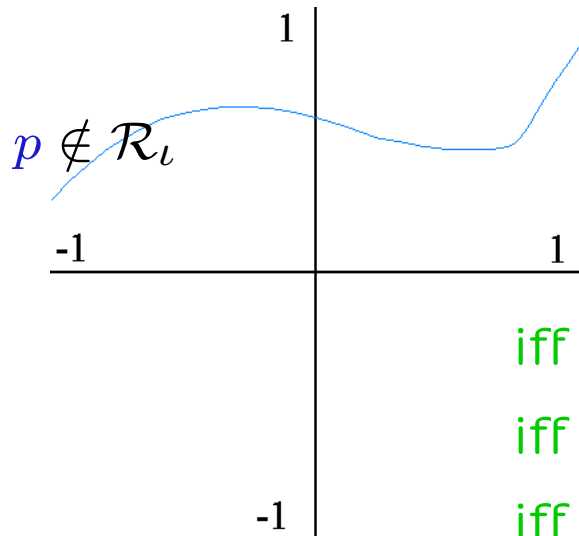
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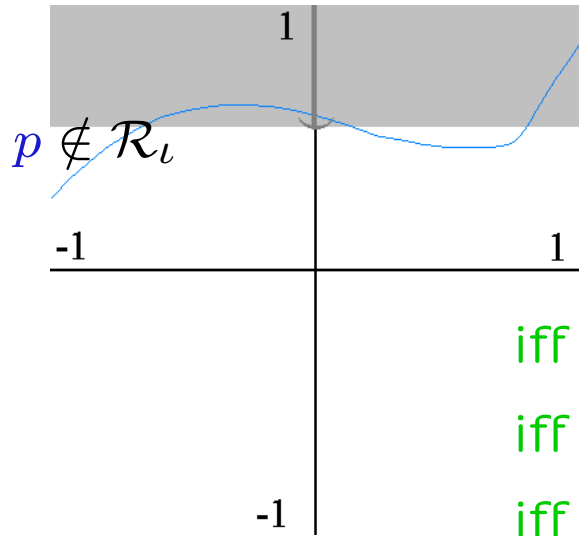
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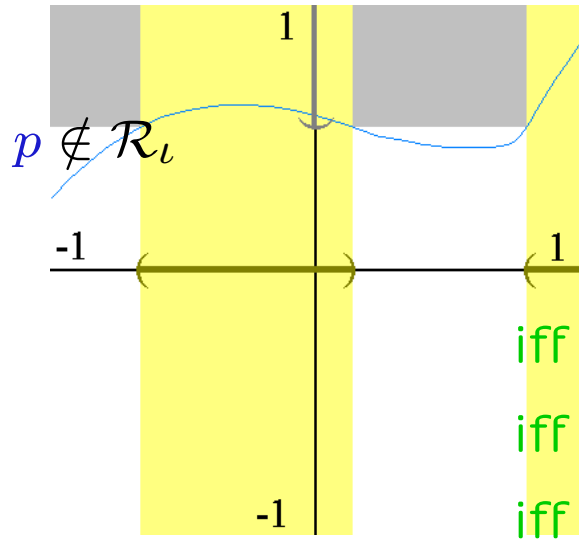
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p is nondecreasing and left-continuous.

Frame in which Surjective Cantor Fails

A is $(-1, 1)$ and \mathcal{B} is $\{(a, 1) \mid -1 \leq a \leq 1\} \subseteq \mathcal{P}(A)$.

Is $G \in \mathcal{D}_{ou}$?

Let $p_1, p_2 \in \mathcal{R}_v$.

$p_1, p_2 : (-1, 1) \rightarrow (-1, 1)$ are nondecreasing and left-continuous.

Show: $S((G \circ p_1), p_2) \in? \mathcal{R}_o$.

Need: $S((G \circ p_1), p_2)^{-1}(\mathbf{T}) \in? \mathcal{B}$.

$$\{x \in (-1, 1) \mid S((G \circ p_1), p_2)(x) = \mathbf{T}\} \in? \mathcal{B}$$

Frame in which Surjective Cantor Fails

Show: $\{x \in (-1, 1) \mid S((G \circ p_1), p_2)(x) = \mathbf{T}\} \in? \mathcal{B}$

Compute:

$$S((G \circ p_1), p_2)(x) = (G \circ p_1)(x)(p_2(x)) = G(p_1(x))(p_2(x))$$

Show: $\{x \in (-1, 1) \mid G(p_1(x))(p_2(x)) = \mathbf{T}\} \in? \mathcal{B}$

Recall: $G(x)(y) = \mathbf{T}$ iff $-2x < y$ for each $x, y \in (-1, 1)$.

Simplifies Using Definition of G :

$$\{x \in (-1, 1) \mid -2p_1(x) < p_2(x)\} \in? \mathcal{B}$$

Frame in which Surjective Cantor Fails

Show: $\{x \in (-1, 1) \mid -2p_1(x) < p_2(x)\} \in? \mathcal{B}$

Show: $\{x \in (-1, 1) \mid 0 < (p_2 + 2p_1)(x)\} \in? \mathcal{B}$

$(p_2 + 2p_1)$ is nondecreasing and left-continuous since $p_1, p_2 \in \mathcal{R}_\iota$ are nondecreasing and left-continuous.

Thus

$$\{x \in (-1, 1) \mid 0 < (p_2 + 2p_1)(x)\} = (a, 1) \in \mathcal{B}$$

for some $a \in [-1, 1]$.

Therefore, the surjection G is in \mathcal{D}_{ou} as desired.

Injective Cantor Theorem

There is no injection from \mathcal{D}_{ol} to \mathcal{D}_l .

$$\neg \exists h_{l(ol)} \forall p_{ol} \forall q_{ol} \cdot h p \stackrel{l}{=} h q \supset p \stackrel{ol}{=} q$$

Informal Proof: Assume $H_{l(ol)}$ is injective.

- Let \mathbf{D} be $\{[H X_{ol}] \mid [H X] \notin X\}$ (diagonal set).
- Consider $[H \mathbf{D}]$. If $[H \mathbf{D}] \notin \mathbf{D}$, then $[H \mathbf{D}] \in \mathbf{D}$. Hence $[H \mathbf{D}] \in \mathbf{D}$.
- For some X_{ol} , $[[H \mathbf{D}] \stackrel{l}{=} [H X]]$ and $[H X] \notin X$.
- By injectivity of H , $[X \stackrel{ol}{=} \mathbf{D}]$ and so $[H \mathbf{D}] \notin \mathbf{D}$.

Contradiction.

Injective Cantor Theorem

The diagonal set $\{[H X_{ol}] \mid [H X] \notin X\}$ can be formally defined as

$$[\lambda y_{ol} \exists X_{ol} . [y =^l H X] \wedge \neg[X [H X]]]$$

if \neg , \wedge , $=^l$ and Σ^{ol} are in the signature \mathcal{S} .

There is a combinatory frame \mathcal{D} such that:

- The injective Cantor Theorem is false.
- The surjective Cantor Theorem is true.
- \mathcal{D} possesses \neg and \wedge .
- \mathcal{D} possesses neither $=^l$ nor Σ^{ol} .

Frame in which Injective Cantor Fails

Let A be the natural numbers \mathbf{IN} .

Let \mathcal{B} be the set of finite $X \subseteq \mathbf{IN}$ and cofinite $Y \subseteq \mathbf{IN}$.

Note: $\emptyset \in \mathcal{B}$ (finite), $\mathbf{IN} \in \mathcal{B}$ (cofinite), and \mathcal{B} is closed under complements, binary unions and binary intersections.

Define $\mathcal{D}_o := \{\mathbf{T}, \mathbf{F}\}$ and $\mathcal{D}_l := \mathbf{IN}$.

Use Relations to define combinatory frame \mathcal{D} with $\mathcal{D}_{ol} \cong \mathcal{B}$.

\mathcal{D} is a $\{\top, \perp, \neg, \wedge, \vee\}$ -model.

Frame in which Injective Cantor Fails

$$\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$$

$$\mathcal{D}_i = \mathbf{IN}$$

$$\mathcal{R}_o = \{\chi_X : \mathbf{IN} \rightarrow \mathcal{D}_o \mid X \text{ finite or cofinite}\}$$

Fact: $p \in \mathcal{R}_o$ iff $p : \mathbf{IN} \rightarrow \mathcal{D}_o$ is eventually constant.

Defn: A function $f : \mathbf{IN} \rightarrow C$ is eventually constant if there is some $N \in \mathbf{IN}$ and $c \in C$ such that $f(n) = c$ for every $n \geq N$.

Fact: For any type α , if $p : \mathbf{IN} \rightarrow \mathcal{D}_\alpha$ is eventually constant, then $p \in \mathcal{R}_\alpha$.

Frame in which Injective Cantor Fails

Fact: If every $p \in \mathcal{R}_\beta$ is eventually constant, then $\mathcal{D}_{\alpha\beta} = (\mathcal{D}_\alpha)^{\mathcal{D}_\beta}$ for every type α .

Fact: Every $p \in \mathcal{R}_{o\iota}$ is eventually constant.

Conclusions:

- $f \in \mathcal{D}_{\iota(o\iota)}$ for every $f : \mathcal{D}_{o\iota} \rightarrow \mathcal{D}_\iota$.
- Every injection from $\mathcal{D}_{o\iota}$ to \mathcal{D}_ι (both are countable) is in $\mathcal{D}_{\iota(o\iota)}$.
- The Injective Cantor Theorem fails in \mathcal{D} .
- $f \in \mathcal{D}_{o(o\iota)}$ for every $f : \mathcal{D}_{o\iota} \rightarrow \mathcal{D}_o$.
- Π^ι and Σ^ι have interpretations in $\mathcal{D}_{o(o\iota)}$.

Contravariant Effects

- If $\mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^A$ is **small**, then the sets

$$\mathcal{D}_{o\alpha} := \{f : \mathcal{D}_\alpha \rightarrow \mathcal{D}_o \mid \forall p \in \boxed{\mathcal{R}_\alpha} \ (f \circ p) \in \mathcal{R}_o\}$$

and

$$\mathcal{R}_{o\alpha} := \{q : A \rightarrow \mathcal{D}_{o\alpha} \mid \forall p \in \boxed{\mathcal{R}_\alpha} \ S(f, p) \in \mathcal{R}_o\}$$

are **big** (fewer restrictions).

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are **small** (more restrictions).

Contravariant Effects

- \mathcal{R}_l is **big**.
- \mathcal{D}_{o_l} and \mathcal{R}_{o_l} are **small**.
- $\mathcal{D}_{o(o_l)}$ and $\mathcal{R}_{o(o_l)}$ are **big**.
- $\mathcal{D}_{o(o(o_l))}$ and $\mathcal{R}_{o(o(o_l))}$ are **small**.
- $\mathcal{D}_{o(o(o(o_l)))}$ and $\mathcal{R}_{o(o(o(o_l)))}$ are **big**.
- etc...

Frame in which Injective Cantor Fails

- \mathcal{D}_l and \mathcal{D}_{ol} are countable.
- $\mathcal{D}_{o(ol)} = (\mathcal{D}_o)^{\mathcal{D}_{ol}}$ is uncountable (continuum).
- $\mathcal{D}_{o(o(ol))}$ is again *countable*.
- $\mathcal{D}_{o(o(o(ol)))} = (\mathcal{D}_o)^{\mathcal{D}_{o(o(ol))}}$ is uncountable (continuum).
- Alternates up the heirarchy.

Frame in which Injective Cantor Fails

	<u>cardinality</u>	<u>standard</u>	<u>Π^α</u>	<u>Σ^α</u>	<u>\equiv^α</u>
⋮					
$\mathcal{D}_{o(o(o(o(ol))))}$	\aleph_0	no	no	no	yes
$\mathcal{D}_{o(o(o(ol)))}$	2^{\aleph_0}	yes	yes	yes	no
$\mathcal{D}_{o(o(ol))}$	\aleph_0	no	no	no	yes
$\mathcal{D}_{o(ol)}$	2^{\aleph_0}	yes	yes	yes	no
\mathcal{D}_{ol}	\aleph_0	no	no	no	yes
\mathcal{D}_l	\aleph_0	—	yes	yes	no

Frame in which Injective Cantor Fails

Injective Cantor Theorem is not provable even using signature

$$\mathcal{S} = \{\top, \perp, \neg, \vee, \wedge\} \cup \{\Pi^\alpha \mid \alpha \in \mathcal{T}^e\} \cup \{\Sigma^\alpha \mid \alpha \in \mathcal{T}^e\} \cup \{=\alpha \mid \alpha \in \mathcal{T}^o\}$$

where

$$\mathcal{T}^e = \{\iota, o(o\iota), o(o(o\iota)), \dots\}$$

and

$$\mathcal{T}^o = \{(o\alpha) \mid \alpha \in \mathcal{T}^e\}$$

Independence Results:

- The signature $\mathcal{S} \cup \{\Pi^{o\iota}\}$ is not conservative over \mathcal{S} .
- The signature $\mathcal{S} \cup \{=\iota\}$ is not conservative over \mathcal{S} .

Conclusion

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The End.